

## Exhibit 300: Capital Asset Plan and Business Case Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview (All Capital Assets)

**1. Date of Submission:** 2010-03-19 08:23:28

**2. Agency:** 029

**3. Bureau:** 00

**4. Name of this Investment:** Benefits 21st Century Paperless Delivery of Veterans Benefits-2011

**5. Unique Project (Investment) Identifier:** 029-00-01-22-01-1265-00

**6. What kind of investment will this be in FY 2011?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

**7. What was the first budget year this investment was submitted to OMB? \***

**8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

VBA adjudicates VA claims seeking entitlement to various benefits for veterans and eligible dependents of veterans. VBA activities address the receipt, processing, tracking, and disposition of veterans applications for benefits, services, and requests for assistance. The five VBA business lines that process VA claims are: Compensation and Pension (C&P); Education; Vocational Rehabilitation and Employment; Insurance; and Loan Guaranty. The purpose of this initiative is to bring together existing VBA paperless activities, and align all five business lines with similar content management paperless capabilities This initiative will consolidate VBAs efforts in the following areas; Enterprise Portal, Data Integration, Imaging, Forms Service, Rules Based Processing, Correspondence, Messaging, Workflow Services and Content Management. The operational concept of the Paperless Initiative is to employ enhanced technology platforms to facilitate end-to-end claims processing in a paperless environment providing world-class service to veterans. VBA has successfully used imaging technology and computable data to support claims processing in Insurance, Education, and Loan Guaranty business lines for several years. Using VA approved Enterprise Architecture, the Enterprise Content Management (ECM) IT solution will build a common platform of shared services and scalable, agile service-oriented architecture (SOA) that will enable other VA systems, including but not limited to the VA corporate database, to later leverage the extensible technology developed for the Paperless Initiative and further realize IT efficiency and reduced IT development costs. The overarching strategy will build on work begun in FY08 to expand current paperless claims processing initiatives into a comprehensive ECM benefits delivery model supporting all VBA business lines. The VBMS SOA architecture will enable integration with the VETSNET claims processing applications. By implementing the Paperless Initiative, VBA will achieve several business benefits, such as: 1. improve access and delivery of VBA services to veterans through improved web based services; and 2. increased flexibility, and greater control over the movement of veterans data throughout VA. The Paperless Initiative supports VA Strategic Goals 1: Restoration and improved quality of life for disabled veterans, and 2: Smooth transition to civilian life.

- a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

**9. Did the Agency's Executive/Investment Committee approve this request? \***

a. If "yes," what was the date of this approval? \*

**10. Contact information of Program/Project Manager?**

- Name: \*
- Phone Number: \*
- Email: \*

**11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? \***

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

**12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):**

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

**a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): \***

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

## Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

<b>Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES</b> <b>(REPORTED IN MILLIONS)</b> (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

\*

## Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
Project Management Support (SPAWAR) VA-OED-8.8.0-00011-09-v 1.0	Interagency Agreement	Y	2008-09-12	2008-09-13	2009-09-30	\$0.8	*	*	*	*	*
Lead System Integrator (LSIC)/GS 35F 0323J (base year)	Firm Fixed Price	Y	2008-09-30	2008-10-01	2009-09-30	\$9.3	*	*	*	*	*
Virtual VA IV&V FY09	Firm Fixed Price	Y	2008-09-30	2008-10-01	2009-09-30	\$0.3	*	*	*	*	*
Virtual VA O&M (V116-E95040)	Firm Fixed Price	Y	2009-01-31	2009-02-01	2010-04-07	\$4.9	*	*	*	*	*
Independent Verification and Validation / GS 06F 0529Z (base year)	Firm Fixed Price	Y	2009-02-14	2009-02-15	2010-02-14	\$1.3	*	*	*	*	*
Virtual Regional Office Prototype Hardware (NNG07DA35B)	Firm Fixed Price	Y	2009-12-14	2009-12-18	2009-12-30	\$0.2	*	*	*	*	*
Virtual Regional Office Prototype (GS-35F-0783M)	Firm Fixed Price	Y	2009-12-16	2010-01-04	2010-07-30	\$1.1	*	*	*	*	*
VBA Veterans Online Application (VONAPP) / GS-35F-4338D FY09	Firm Fixed Price	Y	2008-09-11	2008-09-12	2011-01-31	\$3.4	*	*	*	*	*
Project Management Support FY10	Firm Fixed Price	Y	2009-09-30	2009-10-01	2010-06-30	\$3.7	*	*	*	*	*
Hardware and Software Maintenance	Firm Fixed Price	Y	2010-02-05	2010-02-06	2011-02-05	\$1.2	*	*	*	*	*
Virtual VA CCP	Firm Fixed Price	Y	2010-02-05	2010-02-06	2013-02-05	\$5.6	*	*	*	*	*
Virtual VA O&M	Firm Fixed Price	Y	2010-02-05	2010-02-06	2013-02-05	\$13.3	*	*	*	*	*
VBMS Architecture Design	Firm Fixed Price	Y	2010-02-26	2010-03-01	2010-09-01	\$1.7	*	*	*	*	*
Independent Verification and Validation	Firm Fixed Price	Y	2010-03-14	2010-03-15	2015-03-14	\$18.5	*	*	*	*	*
VBMS Collaboration and Demonstration Environment	Firm Fixed Price	Y	2010-07-28	2010-07-28	2011-07-27	\$0.6	*	*	*	*	*
VBMS Integration	Firm Fixed Price	Y	2010-07-26	2010-07-26	2011-07-25	\$44.2	*	*	*	*	*
VBMS Pilot Infrastructure	Firm Fixed Price	Y	2010-07-28	2010-07-28	2011-07-27	\$8.7	*	*	*	*	*
Scanning Solution	Firm Fixed Price	Y	2010-06-03	2010-06-03	2011-06-02	\$22.5	*	*	*	*	*

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
IBM FileNet P8 Licenses	Firm Fixed Price	Y	2010-07-01	2010-07-02	2011-07-01	\$18.0	*	*	*	*	*
VBMS Architecture Framework	Firm Fixed Price	Y	2010-04-02	2010-04-02	2011-04-01	\$1.0	*	*	*	*	*
VRO Application Development	Firm Fixed Price	Y	2010-05-14	2010-05-14	2011-05-13	\$8.3	*	*	*	*	*
Scanning Prototype	Firm Fixed Price	Y	2010-06-03	2010-06-04	2011-06-03	\$4.3	*	*	*	*	*
Kofax Scanning Software	Firm Fixed Price	Y	2010-07-06	2010-07-06	2011-07-05	\$0.7	*	*	*	*	*
CAST - software quality control tool	Firm Fixed Price	Y	2010-07-22	2010-07-22	2011-07-21	\$3.5	*	*	*	*	*
Fortify - application security-check tool	Firm Fixed Price	Y	2010-07-22	2010-07-22	2011-07-21	\$4.4	*	*	*	*	*
WebLayers - source control tool	Firm Fixed Price	Y	2010-07-22	2010-07-22	2011-07-21	\$4.8	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

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3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? \*

a.If "yes," what is the date? \*

## Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2010	Ensure Smooth Transition	*	*	Increase the ratio of online claims for veterans disability benefits submission versus paper submissions	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010
2010	Ensure Smooth Transition	*	*	Increase the ratio of online claims for veterans education benefits submission versus paper submissions	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010
2010	Ensure Smooth Transition	*	*	Increase the ratio of online claims for survivor benefits submission versus paper submissions	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010
2010	Ensure Smooth Transition	*	*	Increase percent of Claims files stored electronically versus number of paper files	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010
2010	Ensure Smooth Transition	*	*	Increase number of disability examinations supported by electronic claims files	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010
2010	Ensure Smooth Transition	*	*	Increase appeals hearings supported by electronic claims files	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010
2010	Quality of Life	*	*	Improve Customer Satisfaction rating	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010
2010	Quality of Life	*	*	Decrease total time taken for customers to complete VAF 21-526, original claim for benefits	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010
2010	Quality of Life	*	*	Increase availability of data contained in E-Files to	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				users with a legitimate business need for that data to perform intrinsic work (need to define E-file criteria			
2010	Quality of Life	*	*	Increase total number of self service capabilities made public	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010
2010	Ensure Smooth Transition	*	*	Reduce % of shipping costs for paper claims files (research by budget year % or budget year \$)	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010
2010	Ensure Smooth Transition	*	*	Reduce number of paper files awaiting processing to be mailed between Regional Offices	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010
2010	Ensure Smooth Transition	*	*	Per OMB Memorandum "Safeguarding Against and Responding to the Breach of Personally Identifiable Information", reduce exposure to compromising privacy data through mailing of paper files	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010
2010	Ensure Smooth Transition	*	*	Implement One VA E-Authentication solution	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010
2010	Quality of Life	*	*	Increase % of system availability	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010
2010	Quality of Life	*	*	Improve Quality Assurance by increasing the number of business processes controlled by the workflow management system	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010
2010	Quality of Life	*	*	Improve enterprise interoperability by increasing	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				the number of interfaces with other VBA systems			
2010	Quality of Life	*	*	Increase the number of commonly used data elements by standardizing data collection processes	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010
2010	Quality of Life	*	*	Improve enterprise data sharing by increasing the number of interfaces with other VBA systems	Baseline will be established in FY2010	Targets will be established in FY2009	Available August 30 2010



## Part II: Planning, Acquisition And Performance Information

### Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Lead System Integration Contractor Development	\$12.3	\$9.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Project Management Support FY09 - FY15	\$25.7	\$0.8	2008-09-13	2008-09-13	2015-06-30		13.50%	11.45%
Independent Verification and Validation FY09 - FY14	\$21.4	\$1.3	2009-02-15	2009-02-15	2010-10-20		16.00%	16.00%
Virtual Regional Office	\$1.9	\$1.7	2009-12-14	2009-12-14	2010-07-30	2010-05-06	10.00%	10.00%
VBMS at 1 Regional Office (R1) eFolder Storage and Access	\$13.9	\$1.0	2010-03-01	2010-05-14	2010-10-29		0.00%	0.00%
VBMS at 2 Regional Offices (R2) - Claims Processing Workflow VBM S Pilot Infrastructure	*	*	2010-11-01		2011-05-01		100.00%	0.00%
VBMS Pilot Infrastructure	*	*	2010-06-01		2011-06-20		100.00%	0.00%
VBMS Deployment Support FY10	*	*	2010-06-21		2011-06-20		100.00%	0.00%
Scanning Pilot to create eFolders	\$5.0	\$0.1	2010-08-02	2010-06-07	2011-01-31		100.00%	0.00%
VBMS at 5 Regional Offices (R3) - Claims Processing Integration	\$10.3	\$0.0	2011-05-01	2010-06-07	2011-11-01		50.00%	0.00%
VBMS Production Infrastructure	*	*	2010-10-01		2011-09-30		50.00%	0.00%
VBMS Production Support FY11	*	*	2011-06-21		2012-06-20		50.00%	0.00%
Scanning Deployment to all Regional Offices	\$19.7	\$4.7	2011-04-01	2006-10-01	2011-09-30	2007-09-30	100.00%	100.00%
VBMS	\$5.7	\$2.9	2011-05-01	2007-10-01	2011-09-30	2008-09-30	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Production Solution for all Regional Offices								
VBMS Business Line Integration	*	*	2011-10-01		2012-12-30		0.00%	0.00%
VBMS Deployment Support FY12	*	*	2012-06-21		2013-06-20		0.00%	0.00%
SE Support Services FY10	*	*	2009-10-01		2010-09-30		0.00%	0.00%
SE Support Services FY11	\$7.0	\$0.0	2010-10-01	2009-10-01	2011-09-30		0.00%	0.00%
VBA Applications Reengineering FY10	*	*	2009-10-01		2010-09-30		0.00%	0.00%
VBA Applications Reengineering FY11	*	*	2010-10-01		2011-09-30		0.00%	0.00%
VONAPP Redesign	\$3.1	\$4.2	2008-09-12	2008-09-12	2011-01-31	2009-01-31	100.00%	100.00%
Virtual VA O&M FY10	\$4.7	\$1.6	2010-02-06	2010-04-01	2011-02-05		0.00%	0.00%
FY09 Maintenance	\$7.1	\$7.1	2009-02-01	2009-02-01	2010-02-28	2010-02-28	100.00%	100.00%
FY10 Maintenance	\$7.4	\$7.1	2009-10-01	2009-10-01	2010-09-30	2009-10-01	30.00%	30.00%
FY11 O&M Support	*	*	2010-10-01		2011-09-30		0.00%	0.00%
FY11 Maintenance	*	*	2010-10-01		2011-09-30		0.00%	0.00%
FY12 Maintenance	*	*	2011-10-01		2012-09-30		0.00%	0.00%
FY13 Maintenance	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FY14 Maintenance	*	*	2013-10-01		2014-09-30		0.00%	0.00%
FY15 Maintenance	*	*	2014-10-01		2015-09-30		0.00%	0.00%

\* - Indicates data is redacted.